

# Influence of Thickness on Electrical and Structural Properties of Zinc Oxide (ZnO) Thin Films Prepared by RF Sputtering Technique

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**Abstract:** Zinc Oxide (ZnO) thin films were prepared on corning (7059) glass substrates at a thickness of 75.5 and 130.5nm by RF sputtering technique. The deposition was carried out at room temperature after which the samples were annealed in open air at 150<sup>0</sup>C. The electrical and structural properties of these films were studied. The electrical properties of the films were monitored by four-point probe method while the structural properties were studied by X-ray diffraction (XRD). It was found that the electrical resistance of the films decreases with increase in the thickness of the films. The XRD analysis of the films showed that the films have a peak located at 34.31<sup>0</sup>-34.35<sup>0</sup> with hkl (002). Other parameters calculated include the stress ( *S* ) and the grain size ( *D* ).

**Keywords:** Electrical Properties, Film thickness, Structural properties, Zinc oxide.

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## I. INTRODUCTION

Transparent conducting oxides (TCOs) have a range of highly useful applications as transparent electrodes in optoelectronic devices such as solar cells and flat panel displays. TCO coatings are essential for solar cell applications since they constitute a fundamental part in the emerging new generations of photovoltaic devices. The properties of TCO films include large band gap (>3 eV), low resistivity ( $10^3 - 10^{-4} \Omega \text{ cm}$ ) and a very good optical transmittance (80 - 90%) in the visible range [1]. Though indium tin oxide (ITO) film is extensively applied to photovoltaic devices and flat panel display because of its good electrical and optical properties, it has some problems such as high cost, low stability to H<sub>2</sub> plasma and toxicity. Recently, Zinc Oxide (ZnO) thin films have attracted much attention as a transparent and conductive film material because it exhibits a wide band gap, high transparency and low resistivity. As a wide and direct band gap semiconductor material, ZnO has a band gap of 3.37 eV at room temperature, which makes it have potential in blue and ultraviolet (UV) photoelectric applications, such as transparent high power electronics, UV detectors, and short wavelength devices. Its large excitons binding energy of about 60 MeV makes it a good choice for fabrication of excitons-related devices, such as short-wave light emitters. Zinc oxide has some advantages over GaN such as higher radiation hardness, simplified processing due to amenability to conventional chemical wet etching and the availability of large area substrates at relatively low material costs, non-toxicity and relatively low deposition temperature [2]. Zinc oxide thin films have been prepared by various deposition methods such as thermal oxidation [3], Spin coating [4] vacuum evaporation [5], electron beam evaporation [6], sputtering [7] - [10] Spray pyrolysis [11] - [14] and chemical bath deposition [15].

In this paper, we report the influence of film thickness on electrical and structural properties of Zinc Oxide thin film grown by RF sputtering technique. This technique is chosen because it provides a higher degree of ionization/dissociation that leads to greater oxidation rate at the substrate surface [16]. In general, the advantages of sputtering are the simple apparatus, high deposition rate, low substrate temperature, good surface flatness, transparency and dense layer formation [17].

## II. EXPERIMENTAL

### A. Substrate preparation:

Before the deposition, the substrates were kept in a dilute chemical detergent (a detergent solution used in the laboratory to solubilise biological macromolecules such as proteins) solution at 100°C in ultrasonic bath for 10 minutes to remove oils and protein molecules and rinsed with double distilled water to remove possible left detergent contaminants. To remove organic contaminants, the substrates were boiled in dilute hydrogen peroxide- (H<sub>2</sub>O<sub>2</sub>) solution for 15 minutes. The substrates were extracted from the bath and rinsed with distilled water and later dried with nitrogen gas before being introduced into the sputtering chamber. Throughout the period of substrate preparation, film deposition and film characterization, the laboratory staff wore protective clothing so as not to contaminate the samples.

### B. Thin film deposition:

Zinc Oxide thin films were deposited onto corning glass (7059) substrate using an RF power of 60W. A Zinc Oxide target with 4N purity and 4cm in diameter was located on the cathode, which was about 7cm from the substrate mounting plate. The deposition was carried out at room temperature. Prior to deposition, the chamber was evacuated to 4.6×10<sup>-3</sup> mbar. For plasma formation, research grade argon with 4N purity was used at a pressure range of 10<sup>-2</sup>-10<sup>-1</sup> mbar, oxygen was also added to facilitate the formation of ZnO on the substrate. Other deposition parameters that were kept constant include the deposition temperature, oxygen/argon flow rate and the sputtering RF power. The details of the deposition parameters are shown on table 1. In this study, the deposition rate is nearly constant as the sputtering time ranges from 60 to 103.5 minutes. A deposition rate of 1.26nm/minute was therefore obtained.

TABLE I: DEPOSITION PARAMETERS USED IN THE STUDY

S/No	Parameters	Deposition details
1	Substrate	Corning Glass 7059
2	Target/Target diameter	ZnO Ceramic target 4N/4cm
3	Substrate/Target Distance	7 cm
4	Annealing Environment	Nitrogen/Air
5	Annealing set points	150°C, 300°C and 450°C
6	Annealing Ramp rate	10°C/min.
7	Annealing period	60 minutes
8	Film Thickness	130.5nm
9	Deposition pressure	4.6 x10 <sup>-3</sup> mbar
10	Argon/Oxygen flow rate	1 sccm at 1:1 ratio
11	Substrate temp	Room Temp.
12	Rf power	60 W.
13	Deposition time/period	1 Hour

The grown films were subjected to electrical characterization by the use of a 4-point probe. Four-point probe method is an electrical resistance measuring technique that uses separate pairs of current-carrying and voltage-sensing electrodes to make more accurate measurements than traditional two-terminal (2T) sensing. A probe head with tungsten carbide tips with a point radius of 0.002", a probe spacing of 0.05" and a probe pressure of 70 to 180 grams was used for all measurements. Current was supplied by a Crytronics model 120 current source with a range of applied currents between 1µA to 100 mA. Voltages were measured by a Keithley model 181-nanovolt electrometer with an input impedance of greater than 1 GΩ. Sheet resistance (R<sub>s</sub> in units of Ω/sq.) and resistivity (ρ in units of Ω cm) were determined from;

$$r = 2\rho s \frac{V}{I} \quad (1)$$

Where S is the spacing between the probes The crystal structure of the films on the other hand was inspected using PANALYTICAL XPERT PRO diffractometer performed in 2θ/ω at a voltage of 45KV and a current of 40mA. The sweeping angle was 20 to 80 degrees, the scan speed 0.8 degrees/minute at a scan step of 0.02 and employing a Cu k<sub>α</sub> tube (λ = 0.1540598nm) Cu k<sub>α</sub> tube (λ = 0.1540598nm) radiation. The surface morphology of the films was studied by the use of Veeco Dektak 150 profilometer while the microstructure was determined by EV@MA-10 scanning electron microscope at an accelerating potential of 18kv. The chamber is pumped down to a vacuum range of 10<sup>-5</sup> to 10<sup>-6</sup> torr. Lattice parameters were then calculated from;

$$d_{hkl} = \frac{1}{\sqrt{\frac{4}{3} \frac{h^2 + hk + k^2}{a^2} + \frac{l^2}{c^2}}} \quad (2)$$

Where h, k and l are the Miller indices, a and c are lattice parameters

### III. RESULTS AND DISCUSSION

#### A. Electrical properties:

Table II shows the resistivity and sheet resistance of the films annealed in open air. It can be seen that the resistivity for the 75.5nm thick film is greater than that of the 130.5nm film. It is known that ZnO thin films generally exhibit n type conductivity, its value depending on the deposition parameters. This conductivity has been attributed to intrinsic donor defects such as donor vacancies and Zn interstitials. More recent reports have proposed that the conductivity is due to hydrogen, since hydrogen impurities can interact with oxygen vacancies resulting in complexes that can act as shallow donors. In any case, experimental conditions such as substrate temperature and deposition time (directly related to the film thickness) can influence the intrinsic defect concentration, thus affecting the conductivity of the films. The observed increase in the conductivity can be correlated with the decreased concentration of grain boundaries in thicker films due to the presence of bigger grains and improved crystallinity, which can reduce scattering processes and increase the conductivity. In addition, the increasing of the thickness can lead to higher concentration of intrinsic defects and thus to an increased conductivity [18]. According to [19] the decrease seen for the sample was as a result of increase in carrier concentration and mobility. Furthermore, the increase in carrier concentration could be attributed to crystallization of the grains into a dense structure in the film [13]. There are two reasons for the increase in carrier concentration. First, many free electrons are caught by large numbers of traps formed by defects. Second, when a semiconductor is abruptly terminated at the surface, the disruption of potential function would create discrete energy states within the band gap which were called surface states and could trap free carriers. [20], further explained that the increase of conductivity in the 130nm thin film may be due to the increase in inter metallic island distance with the increase of film thickness.

In a research, [1], concluded that with increase in the thickness of the thin films, carrier mobility and concentration is also improved which is attributed to the improved crystallinity and increased crystallite sizes that weakens inter-crystallite boundary scattering and increases carrier lifetime. The observed increase in sheet resistance for the 75.5nm film is probably due to the discontinuous nature of the film. The observed dependence of sheet resistance on thickness is in good agreement with Fuchs-Sondheimer theory [21].

TABLE II: ELECTRICAL PARAMETERS OF ZnO THIN FILMS

Thickness (nm)	Resistivity ( $\Omega$ cm)	Sheet Resistance ( $R_s$ ) $\Omega$
75.5	$6.0 \times 10^{-4}$	$2.27 \times 10^{-3}$
130.5	$1.8 \times 10^{-4}$	$0.816 \times 10^{-3}$

#### B. Structural Properties:

Fig. 2, shows the X-ray diffraction profiles of ZnO films in the thickness of 75.5nm and 130.5nm respectively. From the XRD profile, the film deposited at a thickness of 75.5nm exhibited the (002) orientation at  $2\theta = 34.31^\circ$  and 130nm thick  $34.35^\circ$ . The predomination of (002) peak in the pattern proved that the ZnO films have Wurtzite crystalline structure with a preferential orientation along the c-axis and without formation of any secondary phases. The shift of the (002) peak towards higher angles also implies relaxation of the residual strain introduced in the films during the deposition process. The indication that the grains are strongly oriented along the c-axis is because of the singular peak (002 at  $2\theta \sim 34.31^\circ - 34.35^\circ$ ). This is in line with the findings of other researchers such as [8]. A qualitative idea proposed by [22] for the formation mechanism of the preferential oriented thin films suggests that it could be the minimization of the surface energy of each crystal plane and usually films grows so as to minimize the surface energy. Due to the minimization of the surface energy, heterogeneous nucleation readily happens at the interface of film and the substrate.

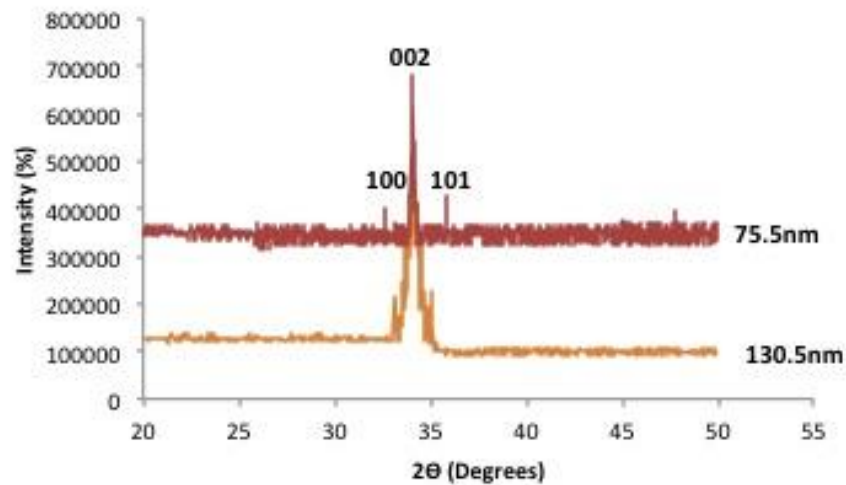


Fig 2: XRD Pattern of ZnO thin films of 75.5nm and 130.5nm thick

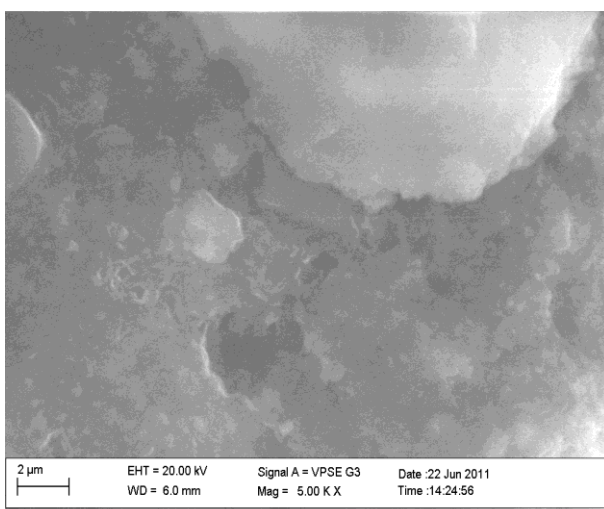
The unit cell (lattice) parameters  $a$  and  $c$  of the films with (002) orientation were calculated using the relation in equation 2. The values calculated for  $a$  and  $c$  are  $3.03\text{\AA}$  and  $5.25\text{\AA}$  for the 75.5nm thick film and  $a = 3.2\text{\AA}$  while  $C = 5.19\text{\AA}$ , for the 130nm thick film respectively in agreement with lattice constants of ZnO powder sample of ASTM card:  $a = 3.2648\text{\AA}$  and  $C = 5.2194\text{\AA}$ . It has been noted that point defects such as Zn antisites, oxygen vacancies and extended defects such as threading dislocation changes the lattice constant [17]. The 75.5nm thick film is noted to have the maximum deviation from the ideal value.

TABLE III: CALCULATED STRUCTURAL PARAMETERS

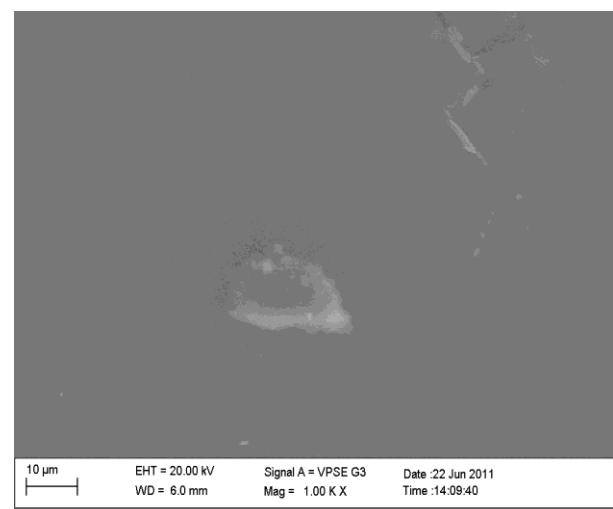
Film thickness (nm)	FWHM	Grain size (D) $\mu\text{m}$	Strain GPa	Residual stress
75.5	0.9600	1.5059	1.77	4.13
130.5	0.3840	3.7798	0.05	0.12

#### A. Morphological properties:

The surface topography of thin film is very important tool to investigate the microstructure of the films. Scanning Electron Microscopy (SEM) micrograph of the two samples is shown in fig. 3a and 3b with the presence tightly packed grains.



(a)



(b)

Fig 3: SEM micrographs of ZnO thin films at a thickness of (a) 75.5nm and (b) 130.5nm

#### IV. CONCLUSION

The influence of thickness on electrical and structural properties of ZnO thin films by RF sputtering technique was studied. The electrical conductivity is found to be dependent on the film thickness. The XRD pattern of the films showed that the highest peak is located at  $2\theta = 34.31^\circ$  and  $34.35^\circ$  respectively. It is therefore reasonable to assume that the observed changes in the electrical and structural properties of the samples are as a result of micro structural re-arrangement initiated either during deposition or during annealing and these effects are interpreted in terms of density of states model proposed by Mott and Davis.

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